

Quantum Mechanics Without Wavefunctions

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Texas



Lubbock, Texas

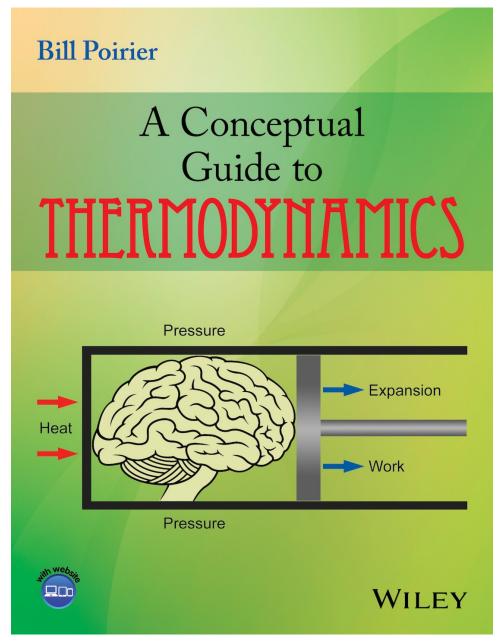






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Recommended by the Amer. Lib. Assoc.: thermodynamics." www.conceptualthermo.com

"Poirier presents a concise and refreshingly transparent definition of entropy...perfectly suited for undergraduate students...**I will be adopting this next year**"

"What we have is a tremendously interesting take on entropy. It is fully interdisciplinary, drawing from information theory very nicely...Just great."

"... natural gift for analysing and expressing the essence of mathematical and scientific concepts."

"...using the molecular, statistical mechanical approach, but in a very simple way that will not repulse students...the book will sell."

"I found the book to be quite excellent...finally explained concepts I have struggled with since my undergraduate days."

"I would have loved to have had this...It would also be helpful for instructors...writing their thermo lectures (and stat mech) for the first time."

"This book concept is **unique** in the field of thermodynamics."

"...appendices...add a certain dimension of "fun"



- Research Interest:
 - "exact" (accurate) quantum dynamics of molecular systems.
 - nuclear part of Born-Oppenheimer approximation.
- Research Scope:
 - quantum effects treated "exactly".
 - "large" systems (so far up to benzene = 30D).
- What We Actually Calculate:
 - "many" vibrational states (energy levels & wavefunctions).
 - tunneling splittings and migration dynamics.
 - scattering cross sections and reaction rates.
 - quantum capture probabilities.
 - resonance lifetimes and widths.



Warning: Exact quantum dynamics calculations are extremely challenging...

This is due to the "Curse of Dimensionality" or "Exponential Scaling Problem".

All researchers in this area must somehow overcome, or at least deal with, this curse.

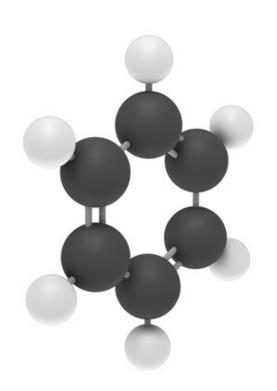
Our group is pursuing several strategies, including quantum trajectory methods based on "quantum mechanics without wavefunctions".



Traditional Basis Set Methods

- Basis Set Methods are Used in Quantum Dynamics
 - Hamiltonian represented using finite set of N basis functions.
 - Leads to $N \times N$ Hamiltonian matrix.
- Traditional (direct-product) basis sets:
 - -N grows exponentially with system dimensionality, d.
 - Calculation becomes intractible at large d.
- Computing vibrational states, phonons, excitons, etc. (energy levels & wavefunctions):
 - number K of dynamically relevant states also grows very rapidly with d.

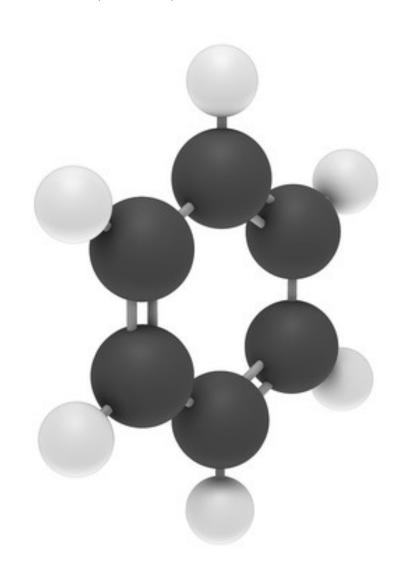
250,000 Quantum States of Benzene (30D)



| State | State | Eigenvalue | Error |
|-------|-------------|-------------|---------------------|
| (A1g) | (Full List) | N = 5276536 | (cm ⁻¹) |
| 1 | 3 | 614.772454 | 0.162 |
| 2 | 7 | 809.6288557 | 0.062 |
| 3 | 8 | 810.8874752 | 0.600 |
| 4 | 12 | 997.6635898 | 0.035 |
| 5 | 24 | 1112.1837 | 0.186 |
| 6 | 28 | 1195.480415 | 0.080 |
| 7 | 34 | 1226.890965 | 0.105 |
| 8 | 35 | 1226.896004 | 0.4396 |
| 9 | 49 | 1393.025453 | 0.469 |
| 10 | 51 | 1407.968252 | 0.274 |
| 30 | 163 | 1817.001 | 0.819 |
| 100 | 664 | 2389.344 | 0.500 |
| 200 | 2083 | 2716.141 | 3.186 |
| 1000 | 7465 | 3588.011 | 1.968 |
| 2000 | 23161 | 3947.823 | 3.806 |
| 10000 | 77564 | 4909.8180 | 2.918 |
| 20000 | 249101 | 5509.12173 | 1.120 |

30 Vibrational Modes of Benzene

1,000,000 Quantum Vibrational States of Benzene



```
v1 = 993;
            v16 = 674;
v2 = 3074;
            v17 = 1010;
v3 = 1350;
            v18 = 3057;
v4 = 707; v19 = 1309;
v5 = 990; v20 = 1150;
v6 = 608; v21 = 398;
v7 = 608; v22 = 398;
v8 = 3057; v23 = 967;
v9 = 3057;
            v24 = 967;
v10 = 1601; v25 = 1038;
v11 = 1601;
            v26 = 1038;
v12 = 1178; v27 = 1484;
v13 = 1178; v28 = 1484;
v14 = 847; v29 = 3047;
v15 = 847; v30 = 3047;
```

Tunneling Splittings and Migration Dynamics:

Exact quantum dynamical treatment of hydrogen-material interactions

(5,5)SWNT- $(H)_n$

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Stefan Estreicher

Jason McAfee

Bill Poirier

 $Fe(H)_2(H_2)(PEtPh_2)_3$

Adelia Aquino

Juergen Eckert*

Megan Gonzalez

Reed Niemann

Bill Poirier

*MOFs also of interest:

Introduced e.g. by J. Eckert and coworkers in *Science* **300**, 1127 (2003) (over 2500 citations)

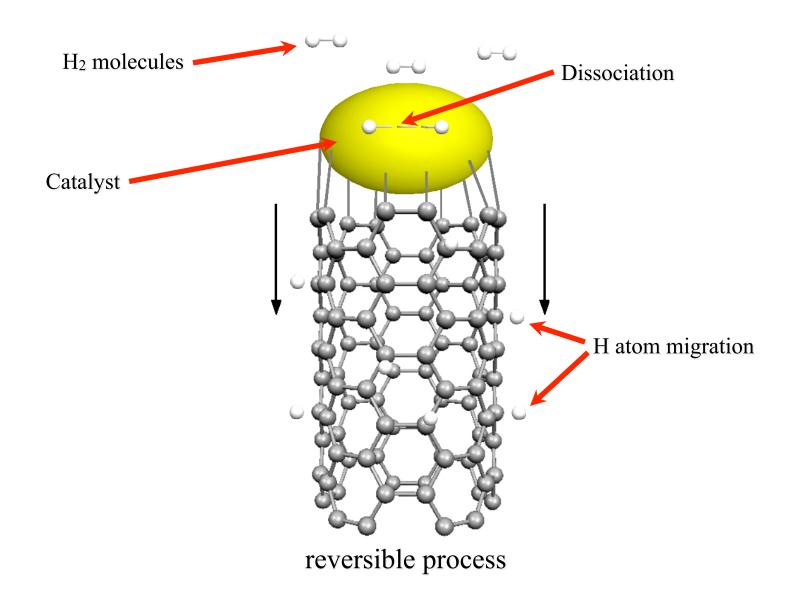


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Goals and Motivation

- Evaluate CNTs in inorganic compounds as hydrogen storage materials.
- Use accurate quantum methods to obtain fundamental dynamical understanding of hydrogen-material interactions, including tunneling.
- Help develop a general language, methodology, framework, etc. for describing such interactions.

"Spillover" Catalysis





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Hydrogen Storage with SWNTs via Spillover Mechanism

Previously Unanswered Questions

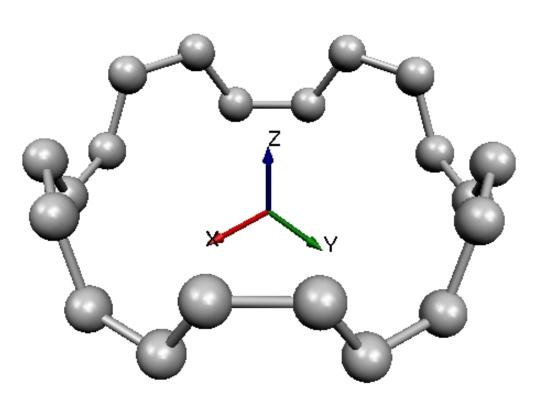
- Overall adsorption energetics:
 - energy gap much too large.
- Reversibility
 - H₂ formation and dissociation does not occur.
- Large migration rates at low T and P
 - experimental rates exceed classical diffusion rates by orders of magnitude.



Project Overview

- DFT calculations of (5,5) SWNT—H system periodic, spin polarized supercells containing 3-5 unit cells no substrate relaxation or phonon interactions
- Fitting a 3D global analytic PES for H dof's.
- Quantum dynamics of all bound rovibrational states (primarily chemisorbed).
- Calculation of quantum migration rates
- Beyond single adsorbates

(5,5)SWNT-H PES: Unit Cell



unit cell

armchair shape

20 atoms

 (r,z,φ) coordinates

D_{10h} point group

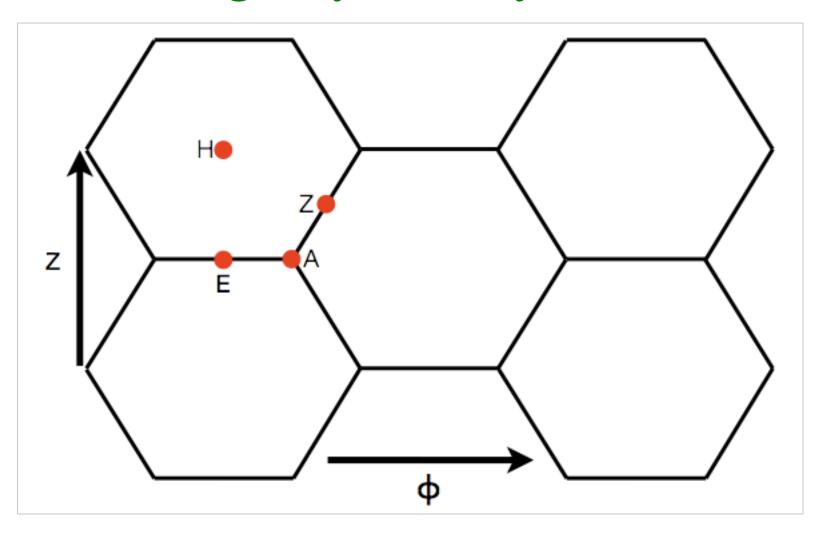
reduced unit cell

4 atoms

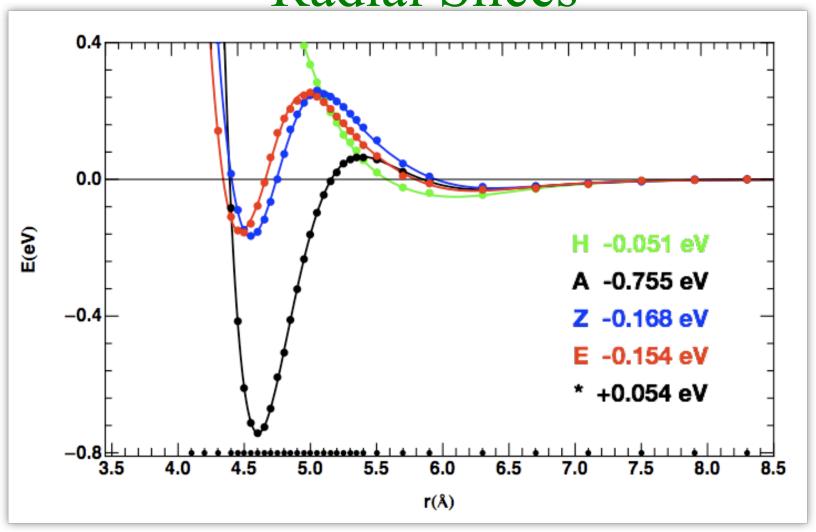
restricted φ range

singly-degenerate irreps only

(5,5)SWNT-H PES High Symmetry Sites

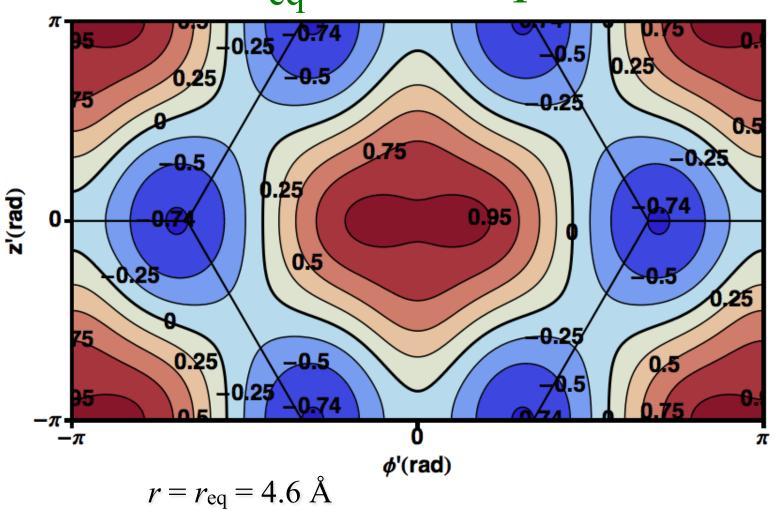


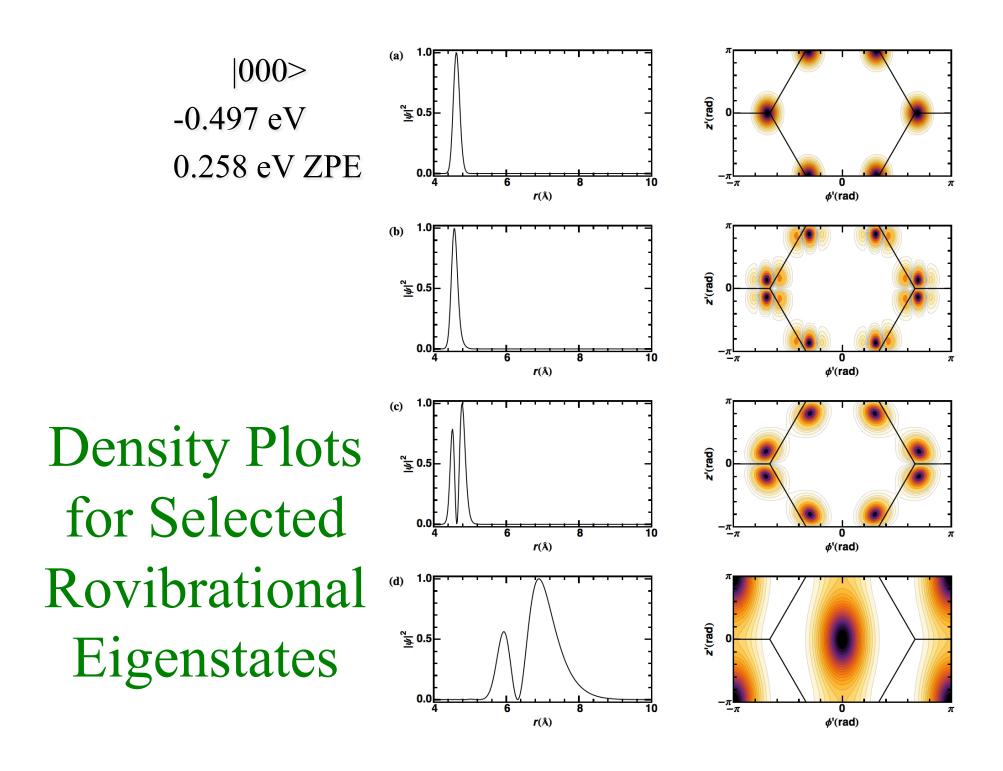
(5,5)SWNT-H PES Radial Slices

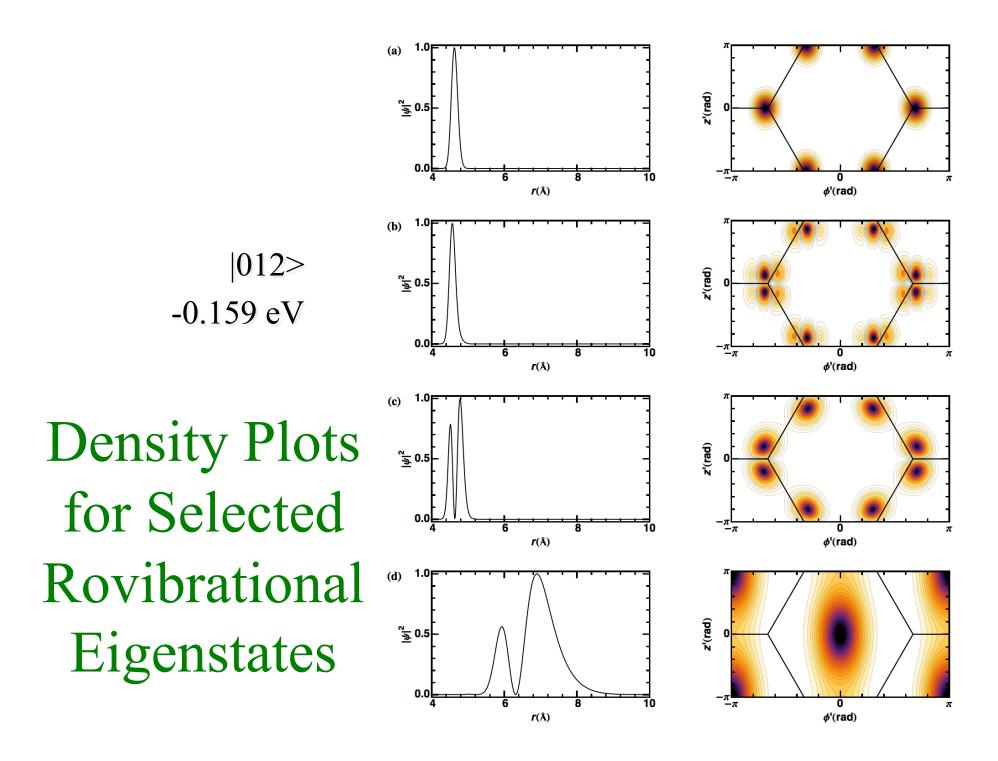


33 nonuniformly spaced radial grid points

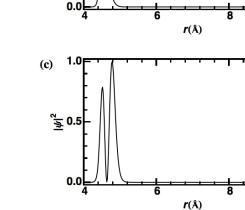
(5,5)SWNT-H PES r=r_{eq} contour plot





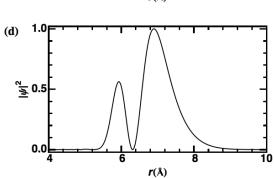


Density Plots for Selected Rovibrational Eigenstates

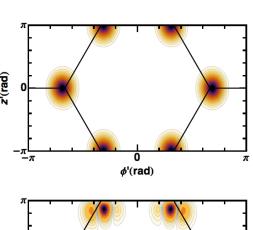


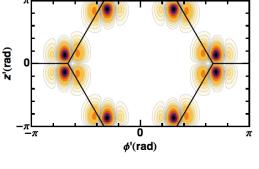
°<u>≤</u> 0.5

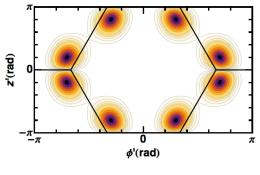
°<u>≤</u> 0.5

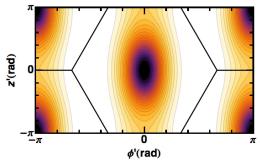


r(Å)



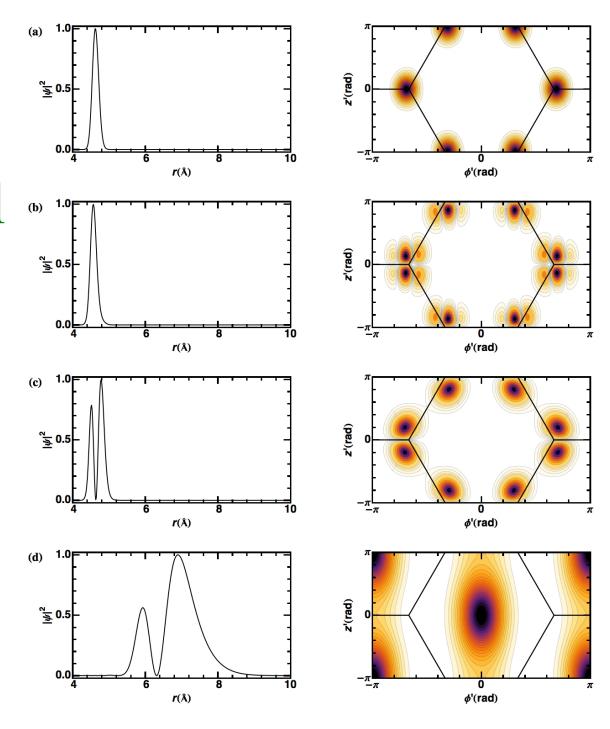






|110> -0.128 eV

Density Plots for Selected Rovibrational Eigenstates



|1??> -0.039 eV

E vs. Z Migration Rate (s⁻¹)

| | | | | | ı | | |
|---|------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| $\mid rz\phi \mid angle$ | E(eV) | k_E^1 | k_E^2 | $ar{k}_E$ | k_Z^1 | k_Z^2 | $ar{k}_Z$ |
| 000 } | -0.4966244 | 2.739×10^{6} | 2.739×10^{6} | 2.739×10^{6} | 1.640×10^{7} | 1.640×10^{7} | 1.640×10^7 |
| 001 > | -0.3841498 | 8.869×10^{7} | 8.870×10^7 | 8.870×10^7 | 3.943×10^{8} | 3.943×10^{8} | 3.943×10^{8} |
| 010 } | -0.3719295 | 2.024×10^{6} | 2.025×10^{6} | 2.025×10^{6} | 5.977×10^{8} | 5.977×10^{8} | 5.977×10^{8} |
| 002 } | -0.2764535 | 4.007×10^9 | 4.009×10^9 | 4.008×10^9 | 5.464×10^9 | 5.466×10^9 | 5.465×10^9 |
| 011 } | -0.2629459 | 7.401×10^7 | 7.381×10^{7} | 7.391×10^{7} | 1.469×10^{10} | 1.469×10^{10} | 1.469×10^{10} |
| $\mid 020 \mid$ | -0.2550786 | 3.220×10^{8} | 3.207×10^{8} | 3.213×10^{8} | 2.695×10^{9} | 2.694×10^{9} | 2.694×10^{9} |
| 100 } | -0.2475234 | 3.509×10^{7} | 3.556×10^{7} | 3.533×10^{7} | 6.491×10^9 | 6.492×10^9 | 6.491×10^9 |
| 003 > | -0.1735507 | 4.141×10^{10} | 4.175×10^{10} | 4.158×10^{10} | 5.256×10^{10} | 5.289×10^{10} | 5.272×10^{10} |
| $ 012 \rangle$ | -0.1600243 | 2.866×10^{9} | 2.810×10^{9} | 2.838×10^{9} | 1.953×10^{11} | 1.954×10^{11} | 1.953×10^{11} |
| $\mid 021 \rangle$ | -0.1477298 | 7.558×10^{9} | 7.358×10^9 | 7.458×10^9 | 1.616×10^{11} | 1.614×10^{11} | 1.615×10^{11} |
| 101 } | -0.1408521 | 3.840×10^9 | 3.731×10^9 | 3.786×10^9 | 6.311×10^{10} | 6.300×10^{10} | 6.306×10^{10} |
| 030 } | -0.1397799 | 4.152×10^{8} | 4.349×10^{8} | 4.250×10^{8} | 2.172×10^9 | 2.153×10^{9} | 2.163×10^{9} |
| 110 } | -0.1284453 | 2.548×10^{5} | 7.654×10^{5} | 5.101×10^{5} | 6.824×10^{10} | 6.824×10^{10} | 6.824×10^{10} |
| $ 004\rangle$ | -0.0763314 | 4.979×10^{11} | 5.330×10^{11} | 5.154×10^{11} | 3.700×10^{11} | 4.050×10^{11} | 3.875×10^{11} |
| $ 013 \rangle$ | -0.0637028 | 2.367×10^{10} | 1.866×10^{10} | 2.116×10^{10} | 1.726×10^{12} | 1.731×10^{12} | 1.728×10^{12} |
| $\mid 022 \rangle$ | -0.0510807 | 1.105×10^{11} | 7.803×10^{10} | 9.426×10^{10} | 2.366×10^{12} | 2.334×10^{12} | 2.350×10^{12} |
| $ 200\rangle$ | -0.0455344 | 1.233×10^{10} | 1.110×10^{10} | 1.172×10^{10} | 2.209×10^9 | 9.750×10^{8} | 1.592×10^9 |
| 031 > | -0.0363558 | 9.146×10^{9} | 1.464×10^{10} | 1.189×10^{10} | 7.806×10^{11} | 7.751×10^{11} | 7.778×10^{11} |
| $ 102\rangle$ | -0.0362710 | 1.172×10^{11} | 1.124×10^{11} | 1.148×10^{11} | 1.211×10^{11} | 1.163×10^{11} | 1.187×10^{11} |
| J. L. McAfee and B. Poirier, J. Chem. Phys., 130 , 064701 (2009) | | | | | | | |



Beyond single H-atom adsorbates

- Which picture is correct?
 - "molecular orbital" picture
 - chemical bonding picture
- Can we bind more than one H atom adsorbate to a single C atom? *NO*.
- Do adsorbates bound to different C atoms interact appreciably? *YES*.

J. L. McAfee and B. Poirier, J. Chem. Phys., **134**, 074308 (2011)

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Full-coverage Binding Enhancement

1 unit cell of (5,5) SWNT → 20 CH units
Periodic reflections now desirable

SWNT and H geometries from single-adsorbate:

Binding energy per H atom now 1.58 eV.

Very pronounced multiple binding enhancement.

Fully relax SWNT and all H's

SWNT radius increases from 3.45 Å to 3.95 Å

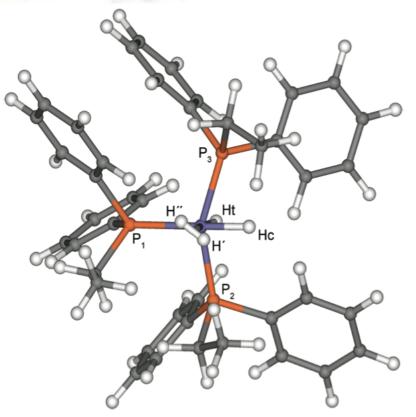
Binding energy per H atom now 2.63 eV.

Relaxation yields additional ~1 eV



EXAS TECH UNIVERSITY Fe(H) (H) (PFtPh) Complex

Fe(H)₂(H₂)(PEtPh₂)₃ Complex: Motivation

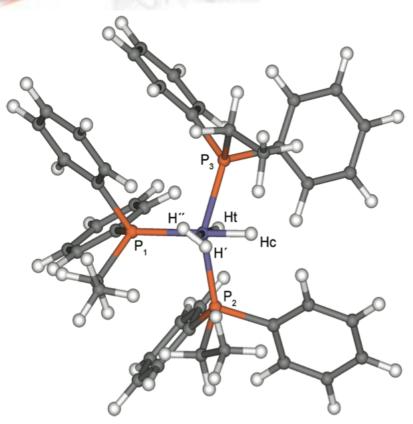


- H₂ forms sigma complex
- H atom ligands also relevant for hydrogen storage (spillover).
- H₂—H exchange reactions very rapid (for Fe).
- H₂ rotational tunneling dynamics prevalent at low T.
- Theory (1D DFT) and Expt. (NMR, neutron scattering) disagree.



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Fe(H)₂(H₂)(PEtPh₂)₃ Complex: Previous Work

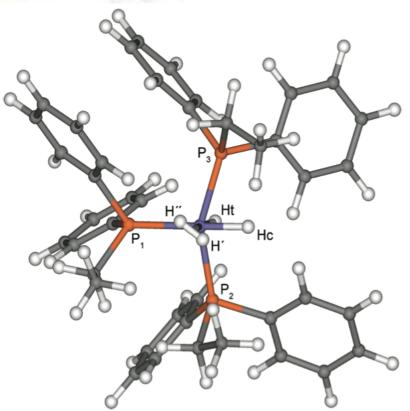


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- S. Li, M. B. Hall, J. Eckert, C. M. Jensen, and A. Albinati, *J. Am. Chem. Soc.* **122**, 2903 (2000).
- K. W. Zilm et. al, *J. Am. Chem. Soc.* **112**, 920 (1990).
- J. Eckert, H. Blank, M. T. Bautista, and R. H. Morris, *Inorg. Chem.* **29**, 747 (1990).



EXAS TECH UNIVERSITY Ea(H) (H) (PEtPh) Complex

Fe(H)₂(H₂)(PEtPh₂)₃ Complex: Our Goals



- Long Term: H₂—H exchange dynamics
 - minimum energy reaction path/profile
 - reduced dimensional quantum dynamics
 - full 12-dimensional quantum dynamics?
- ShortTerm: H₂ rotation dynamics
 - conduct DFT ab initio calculations
 - build 2D rotational PES
 - conduct quantum dynamics calculations of rotational energy levels, tunneling splittings, etc.

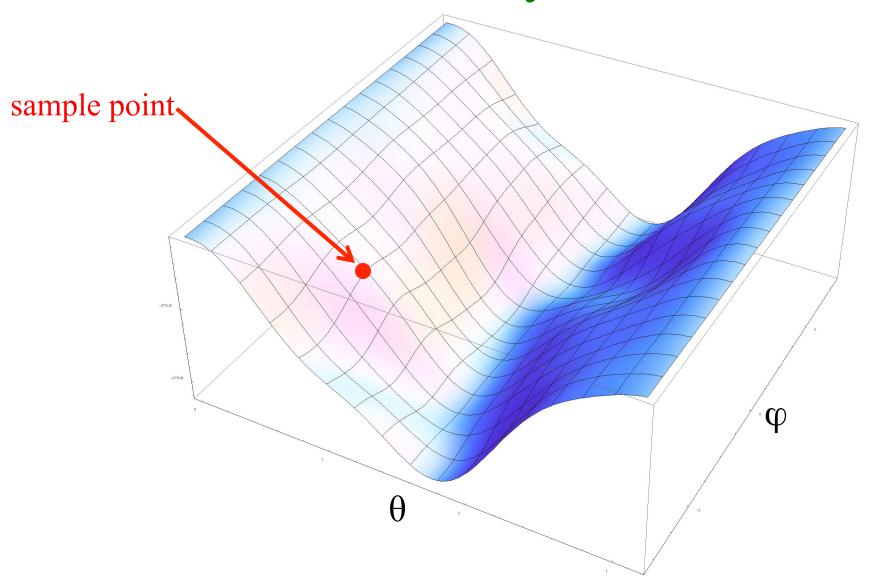


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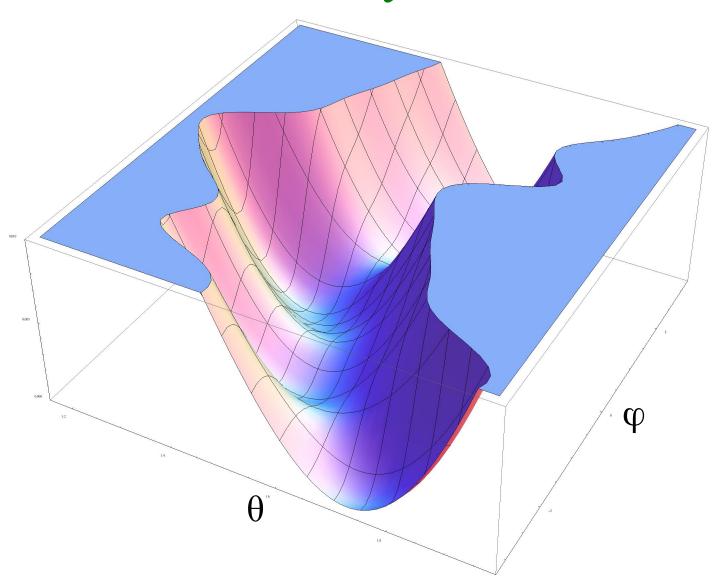
Fe(H)₂(H₂)(PEtPh₂)₃ Complex: H₂ rotation dynamics calculation

- Ab initio calculations using DFT
 - B3-LYP functionals; SV(P) basis; Stuttgart effective core potential (Fe).
 - full relaxation of all degrees-of-freedom except H₂ orientation.
 - orientation (θ, ϕ) defined relative to Fe-H₂ axis.
 - 100 ab initio points thus far (10 polar angles θ , 10 azimuthal angles ϕ)
- Global Analytic Fit PES
 - expansion of global PES in spherical harmonics, $Y_{lm}(\theta, \phi)$
 - $28Y_{lm}(\theta, \phi)$ functions in all, up to l = 6
 - only even / values considered, due to H atom permutation symmetry.
- Exact Quantum Dynamics Calculations
 - hindered rotor quantum states: energy levels and wavefunctions
 - tunneling splittings

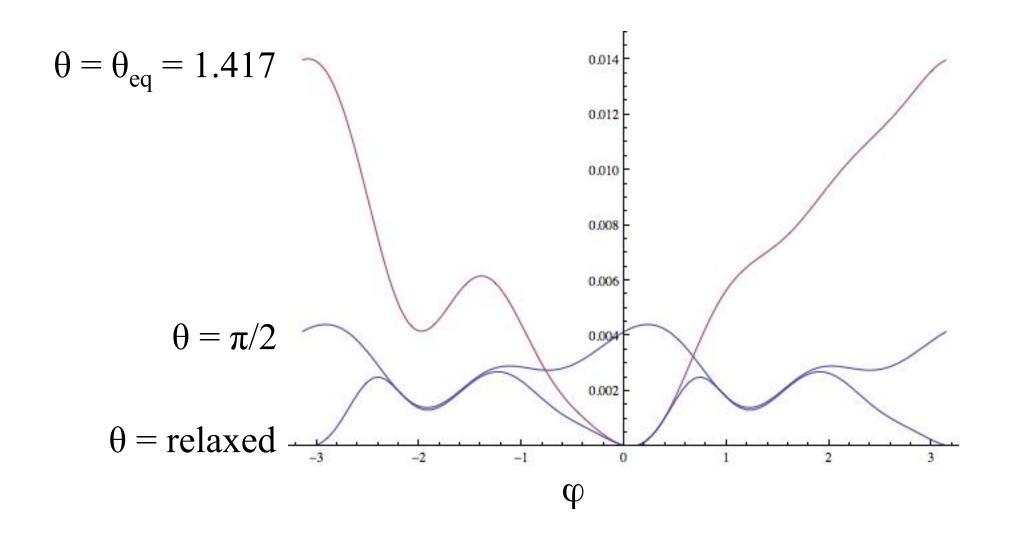
Fe(H)₂(H₂)(PEtPh₂)₃ Complex: Global Analytic Fit PES



Fe(H)₂(H₂)(PEtPh₂)₃ Complex: Global Analytic Fit PES



Fe(H)₂(H₂)(PEtPh₂)₃ Complex: Torsional Reaction Profiles:



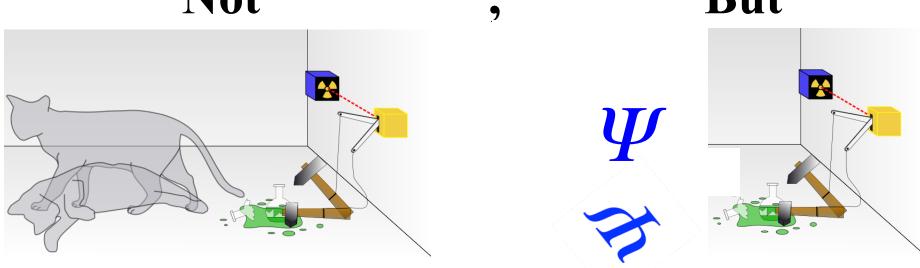


Quantum Mechanics Without Wavefunctions

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Is \(\Psi\) Alive or Dead?



But if not Ψ , then what?

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Answer: Trajectories only

- The wavefunction $\Psi(x,t)$ is replaced with an *ensemble* (family) of trajectories, x(C,t).
 - parameter C labels individual trajectories within the ensemble.
 - resembles classical statistical mechanics/trajectory simulations.
- The individual trajectories turn out to be the quantum trajectories of David Bohm. *However*...
- This is **NOT** Bohmian Mechanics!
 - Bohm uses a *single* trajectory, x(t).
 - Bohm *also* uses the wavefunction, $\Psi(x,t)$.

| Copenhagen | quantum |
|------------|---------|
| mechanics | |

Bohmian mechanics

Quantum trajectory-based formulation (non-relativistic)

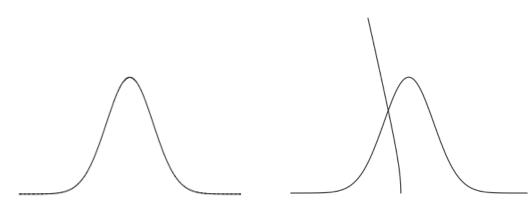
Ψ represents the state of the system. TDSE drives evolution of $\Psi(x,t)$.

 Ψ and x(t) together represent the state of the system. Ψ leads to quantum potential Q, driving trajectory dynamics via:

$$m\ddot{x} + \frac{\partial V(x)}{\partial x} + \frac{\partial Q(x,t)}{\partial x} = 0$$

There is no Ψ . x(t, C) (trajectory ensemble) alone represents the state of the system, and leads to Q. x(t, C) satisfies its own PDE that replaces the TDSE (with 'denoting partial derivative w/ respect to C.)

$$m\ddot{x} + \frac{\partial V(x)}{\partial x} + \frac{\partial Q(x,t)}{\partial x} = 0 \qquad m\ddot{x} + \frac{\partial V(x)}{\partial x} + \frac{\hbar^2}{4m} \left(\frac{x''''}{x'^4} - 8 \frac{x'''x''}{x'^5} + 10 \frac{x''^3}{x'^6} \right) = 0$$

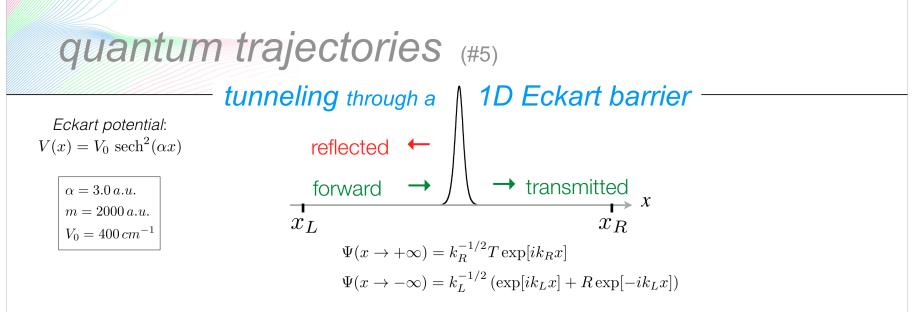




- [1] A. Bouda, Int. J. Mod. Phys. A 18, 3347 (2003).
- [2] P. Holland, Ann. Phys. 315, 505 (2005).
- [3] B. Poirier, Chem. Phys. 370, 4 (2010).
- [4] J. Schiff and B. Poirier, J. Chem. Phys. 136, 031102 (2012).
- [5] B. Poirier, arXiv:1208.6260 [quant-ph], (2012).

Reactive Scattering Calculations: Cross Sections & Rates

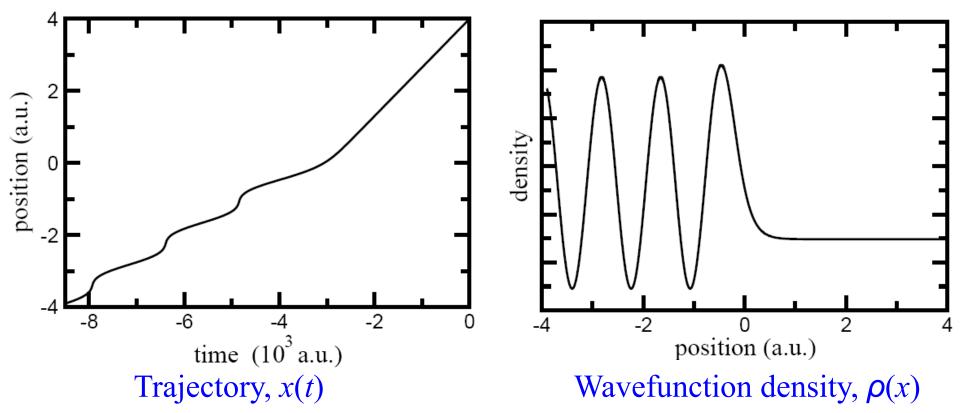
Time independent



Challenges in the continuum:

- Two linearly independent eigenstate solutions for each energy *E*, requiring imposition of special boundary conditions.
- -Energy eigenstates extend infinitely far in both directions, necessitating use of optical potentials to absorb outgoing flux.
- -Exact quantum dynamics calculation in the "deep" tunneling regime nearly impossible, even in 1D.

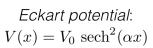
Reactive Scattering Calculations: Cross Sections & Rates



Solve 4th order real-valued ODE in t, to obtain x(t).

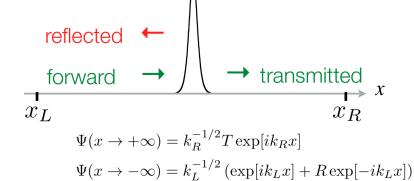
- similar to Newton's second law, w/ extra terms.
- two initial conditions specify E and x_0 .
- remaining two specify boundary conditions of solution ψ

quantum trajectories (#5)



$$\alpha = 3.0 \, a.u.$$
 $m = 2000 \, a.u.$
 $V_0 = 400 \, cm^{-1}$



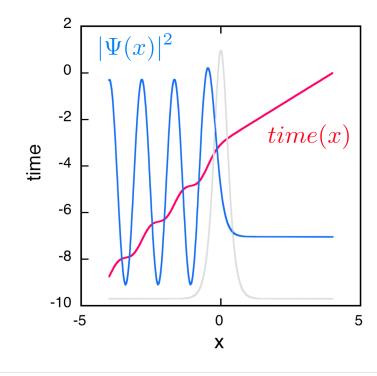


$$x_0 = x_R$$

$$\dot{x}_0 = \sqrt{2E/m}$$

$$\ddot{x}_0 = \ddot{x}_0 = 0$$

 ODE integration by means of a simple Runge-Kutta propagator.



scattering through a 1D Eckart barrier

- Main difference with classical trajectories on the left (reactant) asymptote:
 - $p = m\dot{x}$ is **not** conserved.
 - one can show that *Noether momentum*

$$p_{Noether} = m\dot{x} + rac{\hbar^2}{4m}\left(rac{\ddot{x}}{\dot{x}^4} - rac{2\ddot{x}^2}{\dot{x}^5}
ight)$$

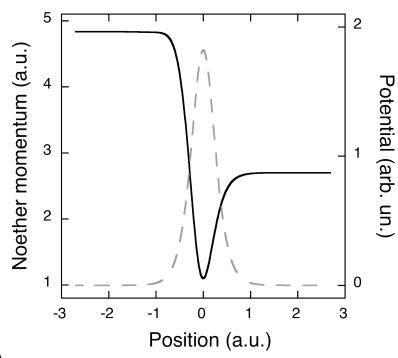
is conserved

• Asymptotic p_{Noether}:

$$p_L = p_{Noether}(t \to -\infty) = \hbar k_L \left(\frac{1 + |R|^2}{1 - |R|^2}\right)$$

Transmission probability:

$$P_T = \frac{2\hbar k_L}{\hbar k_L + p_L}$$



scattering through a 1D Eckart barrier

Transmission as a function of Energy

| E/V_0 | Exact | Present calculation | Rel. error |
|---------|-----------------------|-----------------------|------------|
| 1.(-12) | .28508(-12) | .28522(-12) | +5.(-04) |
| 1.(-09) | .28507873(-09) | .28507863(-09) | -4.(-07) |
| 1.(-06) | .28507940681(-06) | .28507940697(-06) | +5.(-10) |
| 1.(-03) | .285757547947374(-03) | .285757547947352(-03) | -8.(-14) |
| 1.(-01) | .356449541539905(-01) | .356449541539893(-01) | -4.(-14) |
| 0.5 | .318986860221912 | .318986860221873 | -1.(-13) |
| 1.0 | .716641955866101 | .716641955866092 | -1.(-14) |
| 1.5 | .900592641583308 | .900592641583281 | -3.(-14) |
| 2.0 | .963615495020163 | .963615495020159 | -4.(-15) |
| 10.0 | .999998078464427 | .999998078464407 | -2.(-14) |

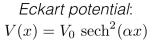


Can propagate for 10^8 a.u. of time with energy conservation $\sim 1.10^{-12}$

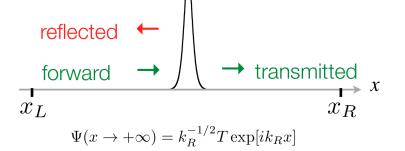
quantum trajectories (#5)



1D Eckart barrier



$$\alpha = 3.0 \, a.u.$$
 $m = 2000 \, a.u.$
 $V_0 = 400 \, cm^{-1}$



$$x_0 = x_R$$

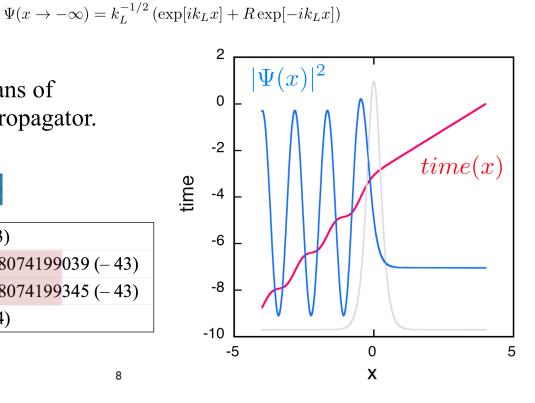
$$\dot{x}_0 = \sqrt{2E/m}$$

$$\ddot{x}_0 = \ddot{x}_0 = 0$$

ODE integration by means of a simple Runge-Kutta propagator.

deep tunneling

| E/V ₀ | 5.48 (-43) |
|------------------------|---------------------------|
| Exact PTransmission | 1.5641888074199039 (- 43) |
| Computed PTransmission | 1.5641888074199345 (-43) |
| Relative Error | ~ 2.0 (-14) |



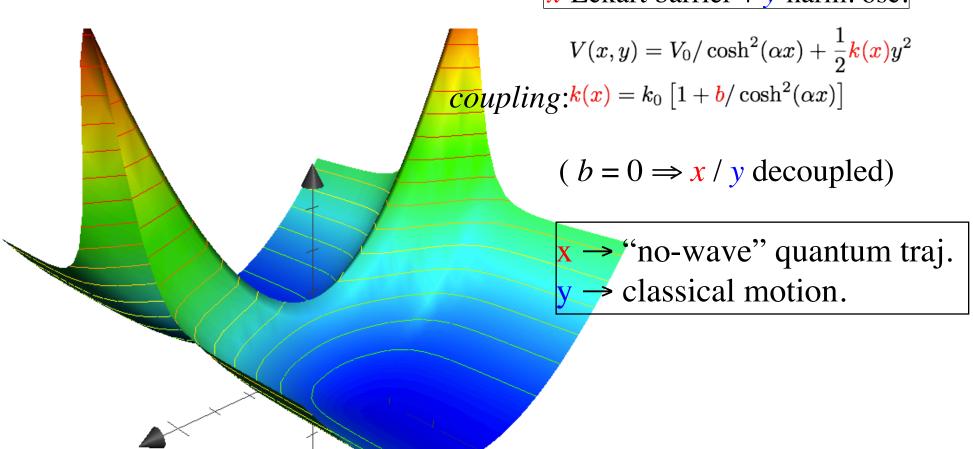
Multidimensional Applications: the best of both classical and quantum trajectories

- Classical-like trajectory ensemble method.
- Individual exact quantum trajectory along reaction coordinate.
- Perpendicular modes treated classically.
 - Standard classical *sampling* of initial conditions...
 - ... modified to incorporate quantization of classical modes.
- Computation of microcanonical quantum reactive scattering.
- Application:
 - cumulative reaction probabilities for a 2D bottleneck potential.

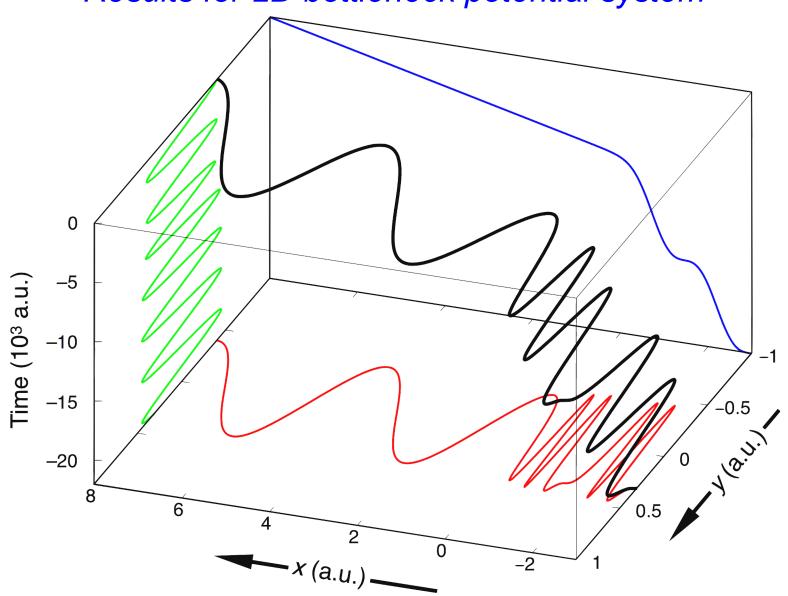
prototype 2D chemical system

2D bottleneck potential



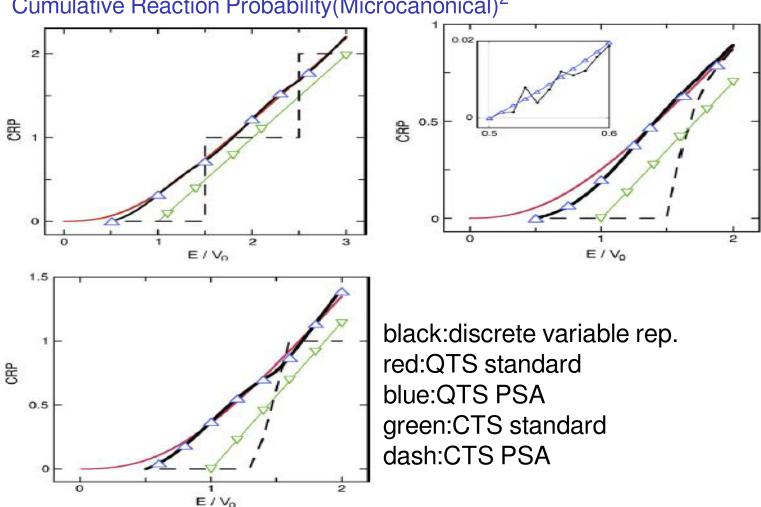


Results for 2D bottleneck potential system-



Results for 2D bottleneck potential system

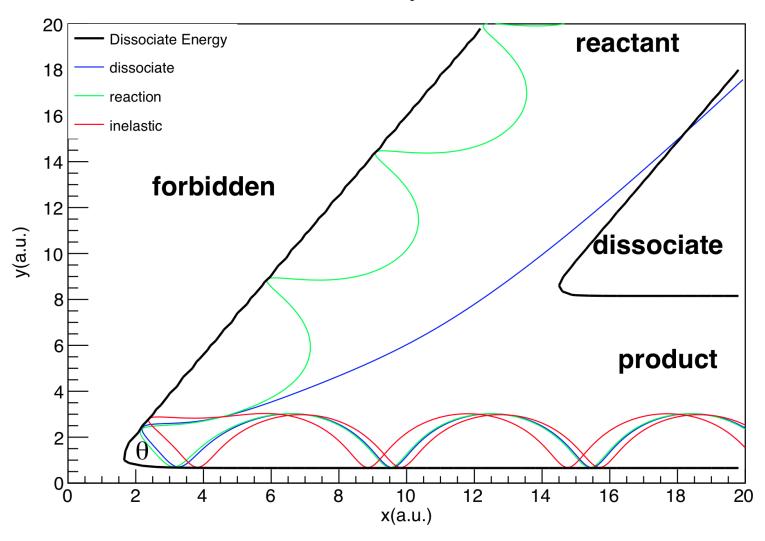




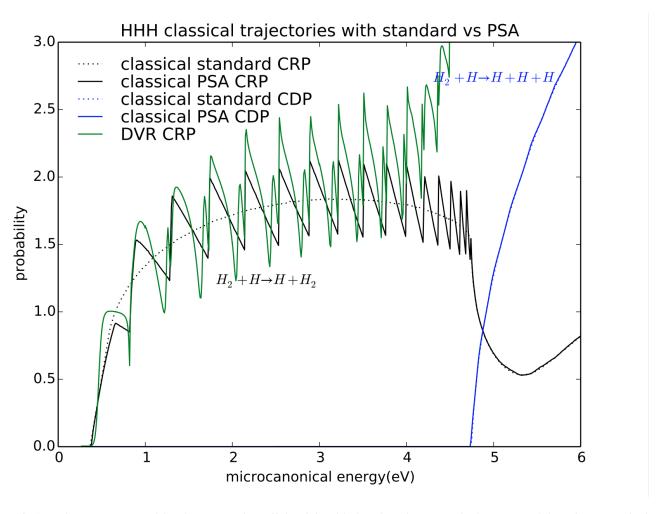
²B.Poirier et al.Comput.Theor.Chem.990(2012)3

Results for collinear H+H2 reaction

LEPS trajectories

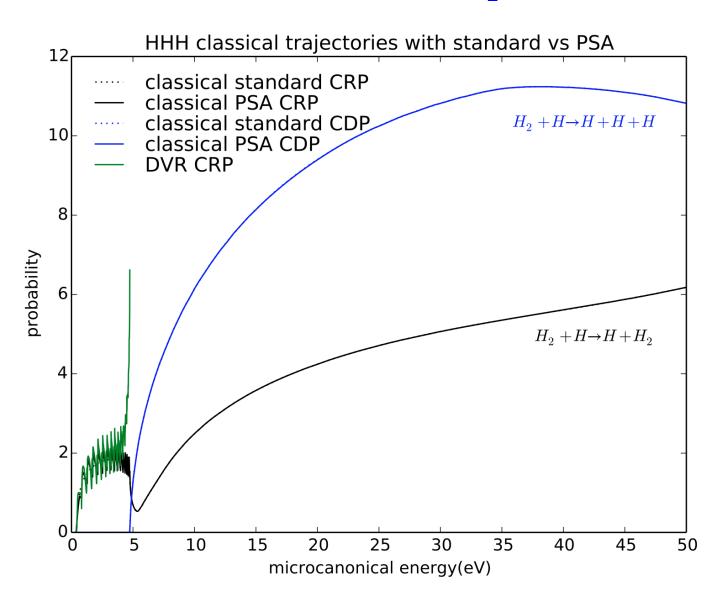


Results for collinear H+H₂ reaction



e 13: In order to compare with other research, well depth is added to the microcanonical energy and the microcanonical energy is represented by eV.

Results for collinear H+H₂ reaction

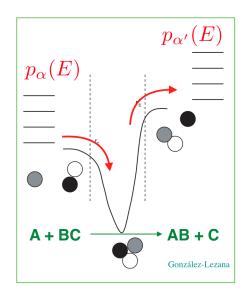


the capture model (#1)

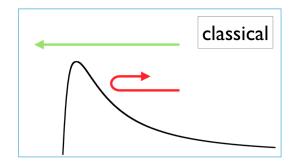
... for long-lived complex reactions

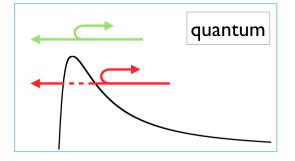
- Assumes all trajectories that cross the barrier actually proceed to form the products.
- Here is what's important at low collision energy:
 - long-range interactions,
 - the capture distance,
 - quantum tunneling & reflection ("suppression"),
 - ... more so as $T \searrow$.
- Theoretical approaches:
 - Quantum scattering (with approximations).
 - Statistical theories (quantum or classical):

$$P_{\alpha,\alpha'}(E) = \frac{p_{\alpha}(E) \times p_{\alpha'}(E)}{\sum p_{\alpha''}(E)}$$



Scattering by a (centrifugal) barrier





quantum trajectory capture (#1)

capture distance and protocol

- (1) Propagate the trajectory.
- (2) Stop at "capture distance" xc
- (3) Get the *capture probability* from the "asymptotic" eq. at x_C :

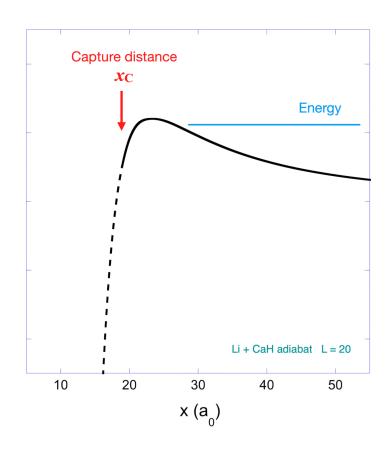
$$P_T = \frac{2\hbar k_C}{\hbar k_C + p_C}$$

with

$$p_C = p_{Noether}(x_C)$$

$$\hbar k_C = \sqrt{2m (E - V(x_C))}$$

Is this protocol valid?

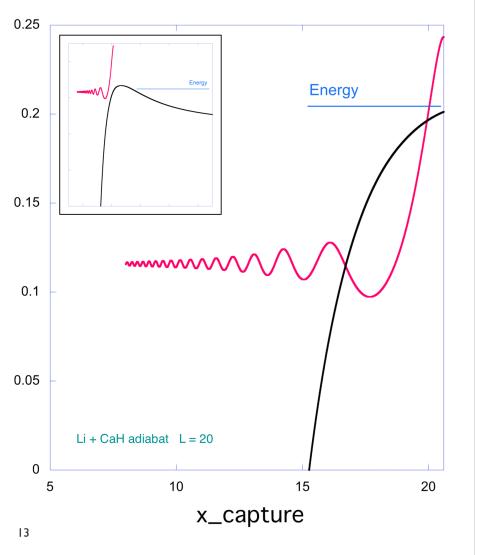


Stopping the propagation at $x_{\rm C}$ may introduce large errors???

quantum trajectory capture (#2)

transmission probability vs capture distance

- Good convergence of probability.
- Can be used to monitor end of propagation.

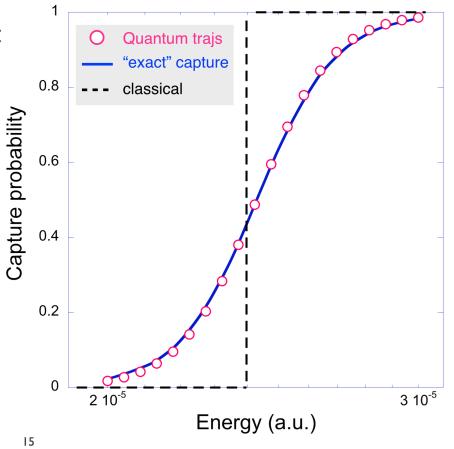


Adiabatic channel capture on Li+CaH→LiH+Ca

capture probability vs energy

T. V. Tscherbul & A. A. Buchachenko, *New J. Phys.* **17**, 035010 (2015).

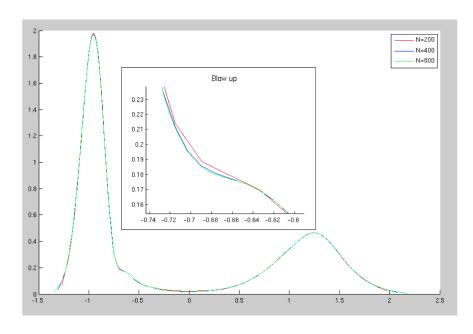
- Centrifugal barrier L = 20,
 - barrier top: $E = 2.4 \ 10^{-5}$ a.u.
- Quantum trajectory calculation:
 - capture distance = $8 a_0$,
 - max. distance = $100 a_0$,
 - 20 energy points,
 - CPU (2.4GHz Intel) = 0.6 s.





TEXAS TECH UNIVERSITY

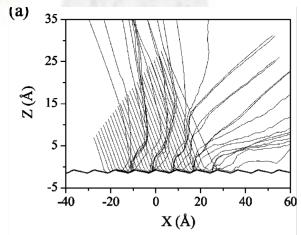
Time-Dependent Scattering 1D Time-dependent Wavepackets

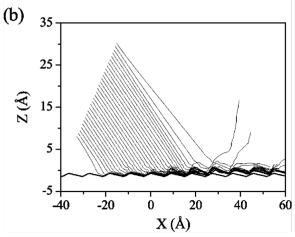


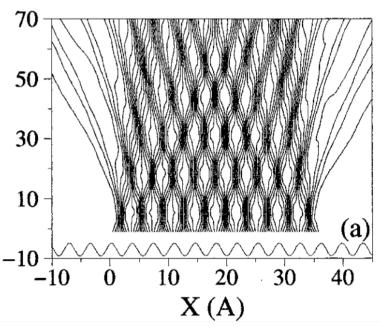
Density $\frac{1}{x'} = \psi^2$ against x at t = 1 for $p_c = 30$, using N = 200, 400, 800 trajectories, and a time step close to the stability limit.



Time-Dependent Scattering Many-D He-Cu Atom-Surface







- A. S. Sanz and S. Miret-Artés, *J. Chem. Phys.* **122**, 014702 (2005).
- A. S. Sanz, F. Borondo, and S. Miret-Artés, *Phys. Rev. B*, **61**, 7743 (2000).

Acknowledgments:

Non-CNRS Personnel:

- Jeremy Schiff:
 - -Bar-Ilan University, Israel
- Postdoctoral Researchers:
 - -Hung-Ming Tsai
 - -Yong-Cheng Ou
- Graduate Students:
 - -Chaowen Guo

Computer Resources:

- Texas Tech University
- Texas Advanced Computing Center

Support:

- Robert A. Welch Foundation
- TRIP Match Fund
- National Science Foundation

CNRS Hosts:

- Gilbert Moultaka
- Gérard Parlant
- Yohann Scribano